

# *Artificial Neural Networks*

*for people in a hurry, in at most four hours!*

Joel Suárez Cansino  
jsuarez@uaeh.edu.mx

Systems and Information Technologies Research Center  
Basic Sciences and Engineering Institute  
Autonomous University of the State of Hidalgo

November 6, 2010



# Topics

- 1 Artificial Neural Networks
  - Architectures
  - Learning paradigms
- 2 Feedforward Artificial Neural Networks
  - Multilayer perceptron
  - Radial Basis Function
- 3 Associative Artificial Neural Networks
  - Hebb's rule
  - Characteristics of the conditioning process
- 4 Neurofuzzy Systems
  - Basic extensions of crisp neurons
- 5 Support Vector Machines
- 6 Spiking Neurons



# Topics

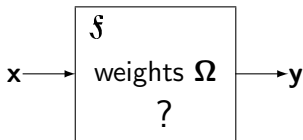
- 1 Artificial Neural Networks
  - Architectures
  - Learning paradigms
- 2 Feedforward Artificial Neural Networks
  - Multilayer perceptron
  - Radial Basis Function
- 3 Associative Artificial Neural Networks
  - Hebb's rule
  - Characteristics of the conditioning process
- 4 Neurofuzzy Systems
  - Basic extensions of crisp neurons
- 5 Support Vector Machines
- 6 Spiking Neurons



# Basic definitions

## Definition

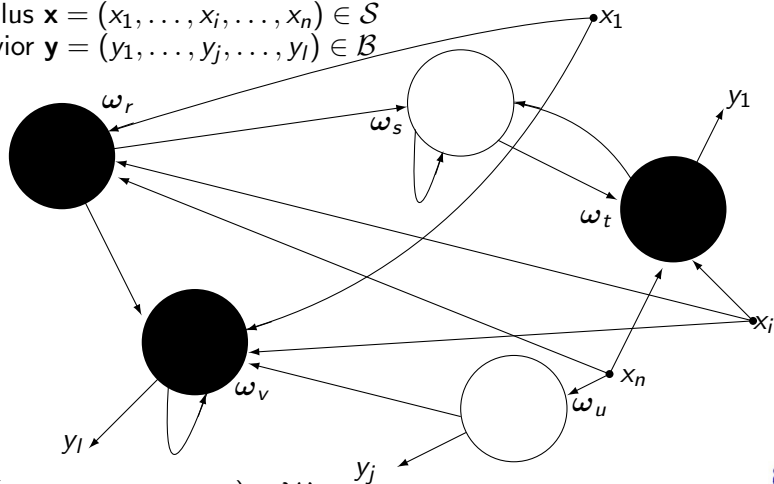
An artificial neural network is a function  $\mathfrak{f}: \mathcal{S} \times \mathcal{W} \rightarrow \mathcal{B}$ , where  $\mathcal{S}$  represents the stimuli space,  $\mathcal{B}$  the behaviors space and  $\mathbf{y} = \mathfrak{f}(\mathbf{x}; \Omega)$  is the rule of correspondence, with  $\mathbf{x} \in \mathcal{S}$ ,  $\mathbf{y} \in \mathcal{B}$  and  $\Omega \in \mathcal{W}$  the weight parameters to be determined through a proper training or learning process inside the weight space  $\mathcal{W}$ .



# General structure

Stimulus  $\mathbf{x} = (x_1, \dots, x_i, \dots, x_n) \in \mathcal{S}$

Behavior  $\mathbf{y} = (y_1, \dots, y_j, \dots, y_l) \in \mathcal{B}$



$\Omega = (\dots, \omega_r, \dots, \omega_v, \dots) \in \mathcal{W}$

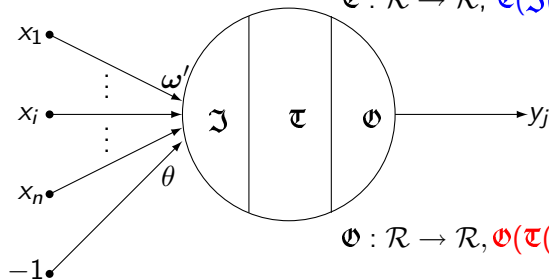


# General neuron structure

Stimulus  $\mathbf{x} = (x_1, \dots, x_i, \dots, x_m) \in \mathcal{S}'$

$$\mathfrak{J} : \mathcal{S}' \times \mathcal{W}' \rightarrow \mathcal{R}, \mathfrak{J}(\mathbf{x}; \omega', \theta) \in \mathcal{R}$$

$$\tau : \mathcal{R} \rightarrow \mathcal{R}, \tau(\mathfrak{J}(\mathbf{x}; \omega', \theta)) \in \mathcal{R}$$

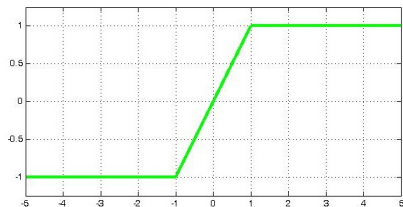
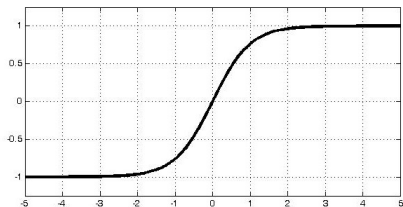
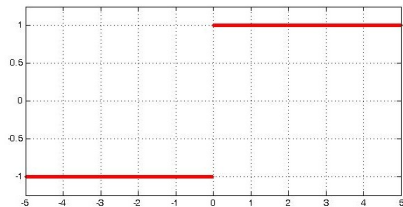
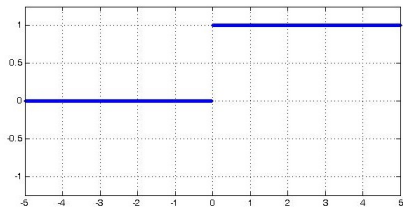


$$\phi : \mathcal{R} \rightarrow \mathcal{R}, \phi(\tau(\mathfrak{J}(\mathbf{x}; \omega', \theta))) \in \mathcal{R}$$

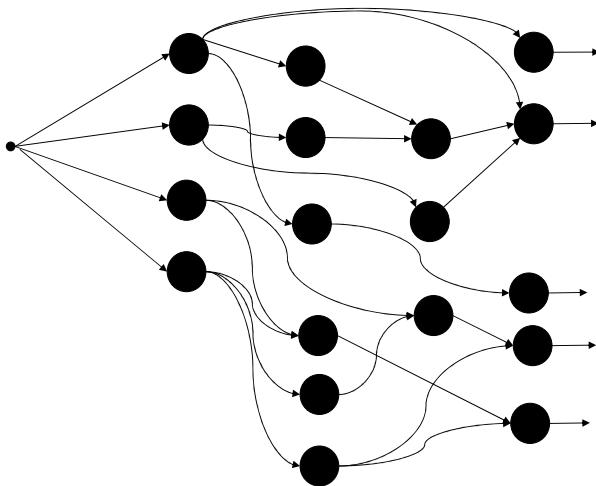


# Transfer or activation functions

(Chapter 2 and 11 (Network function) MatLab nnd command)

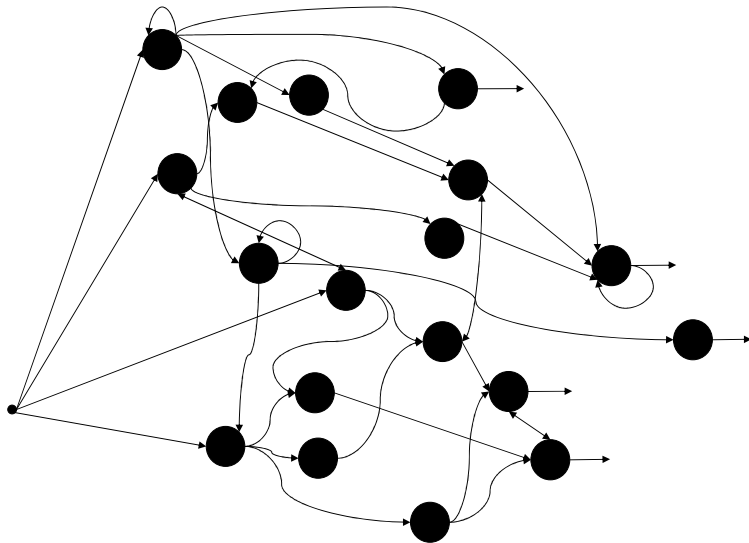


# Feedforward artificial neural networks

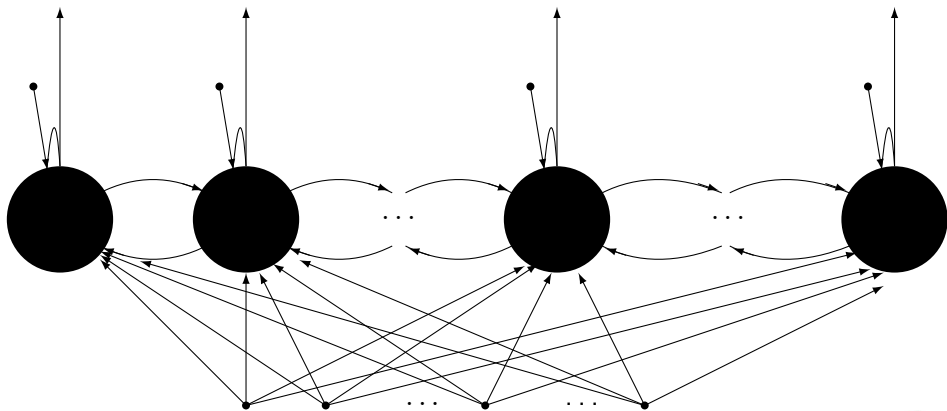




# Feedback artificial neural networks



# Competitive artificial neural networks



# Supervised learning

## Main characteristics

- 1 The easiest way of learning.



# Supervised learning

## Main characteristics

- 1 The easiest way of learning.
- 2 The training process requires a supervisor or an instructor (not a critic!).



# Supervised learning

## Main characteristics

- 1 The easiest way of learning.
- 2 The training process requires a supervisor or an instructor (not a critic!).
- 3 Stimuli and their correspondent behaviors need to be previously specified.



# Supervised learning

## Main characteristics

- 1 The easiest way of learning.
- 2 The training process requires a supervisor or an instructor (not a critic!).
- 3 Stimuli and their correspondent behaviors need to be previously specified.
- 4 Square error provides theoretical basis for learning procedures.



# Unsupervised learning

## Main characteristics

- 1 The most difficult way of learning.



# Unsupervised learning

## Main characteristics

- 1 The most difficult way of learning.
- 2 The training process does not require supervisor or instructor, neither critic.





# Unsupervised learning

## Main characteristics

- 1 The most difficult way of learning.
- 2 The training process does not require supervisor or instructor, neither critic.
- 3 Stimuli are the only data to be previously specified.



# Unsupervised learning

## Main characteristics

- 1 The most difficult way of learning.
- 2 The training process does not require supervisor or instructor, neither critic.
- 3 Stimuli are the only data to be previously specified.
- 4 Looking for correlation between weights and stimuli.



# Unsupervised learning

## Main characteristics

- 1 The most difficult way of learning.
- 2 The training process does not require supervisor or instructor, neither critic.
- 3 Stimuli are the only data to be previously specified.
- 4 Looking for correlation between weights and stimuli.
- 5 Hebb's rule provides theoretical basis for learning procedures.



# Topics

- 1 Artificial Neural Networks
  - Architectures
  - Learning paradigms
- 2 Feedforward Artificial Neural Networks
  - Multilayer perceptron
  - Radial Basis Function
- 3 Associative Artificial Neural Networks
  - Hebb's rule
  - Characteristics of the conditioning process
- 4 Neurofuzzy Systems
  - Basic extensions of crisp neurons
- 5 Support Vector Machines
- 6 Spiking Neurons



# Simple perceptron

## Main properties (Chapters 3 and 4 MatLab nnd command)

- 1 The architecture consists of only one layer with a previously specified number of neurons.

# Simple perceptron

## Main properties (Chapters 3 and 4 MatLab nnd command)

- 1 The architecture consists of only one layer with a previously specified number of neurons.
- 2 Only linearly separable problems can be solved.

# Simple perceptron

## Main properties (Chapters 3 and 4 MatLab nnd command)

- 1 The architecture consists of only one layer with a previously specified number of neurons.
- 2 Only linearly separable problems can be solved.
- 3 The training procedure is based on the application of the recurrence equation (supervised learning)

$$\omega_{new} = \omega_{old} + \eta[\mathbf{d}^T - \text{hardlim}(\omega_{old}\mathbf{x}^T)]\mathbf{x}$$

# Simple perceptron

## Main properties (Chapters 3 and 4 MatLab nnd command)

- 1 The architecture consists of only one layer with a previously specified number of neurons.
- 2 Only linearly separable problems can be solved.
- 3 The training procedure is based on the application of the recurrence equation (supervised learning)

$$\omega_{new} = \omega_{old} + \eta[\mathbf{d}^T - \text{hardlim}(\omega_{old}\mathbf{x}^T)]\mathbf{x}$$

- 4 Classification problems with two or more classes can be solved without difficulty only if they are linearly separable.



# Simple perceptron

## Main properties (Chapters 3 and 4 MatLab nnd command)

- 1 The architecture consists of only one layer with a previously specified number of neurons.
- 2 Only linearly separable problems can be solved.
- 3 The training procedure is based on the application of the recurrence equation (supervised learning)

$$\omega_{new} = \omega_{old} + \eta[\mathbf{d}^T - \text{hardlim}(\omega_{old}\mathbf{x}^T)]\mathbf{x}$$

- 4 Classification problems with two or more classes can be solved without difficulty only if they are linearly separable.
- 5 Impossible to solve the XOR problem (non linearly separable problem), without introducing an additional layer of neurons.

# Multilayer perceptron

Main properties (Chapters 9 and 11 (Backpropagation, Function approximation and Generalization))

- 1 The architecture consists of one or more layers with a previously specified number of neurons.



# Multilayer perceptron

Main properties (Chapters 9 and 11 (Backpropagation, Function approximation and Generalization))

- 1 The architecture consists of one or more layers with a previously specified number of neurons.
- 2 Non linearly separable problems can also be solved.



# Multilayer perceptron

Main properties (Chapters 9 and 11 (Backpropagation, Function approximation and Generalization))

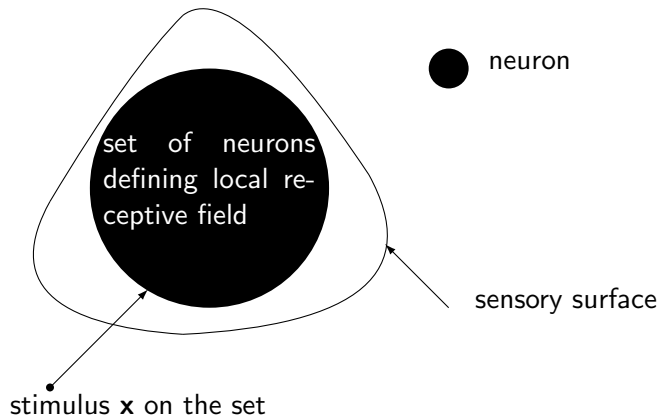
- 1 The architecture consists of one or more layers with a previously specified number of neurons.
- 2 Non linearly separable problems can also be solved.
- 3 The training procedure is based on the application of the recurrence equation (supervised learning)

$$\omega_{new} = \omega_{old} - \eta \frac{\partial \mathcal{E}^2}{\partial \omega},$$

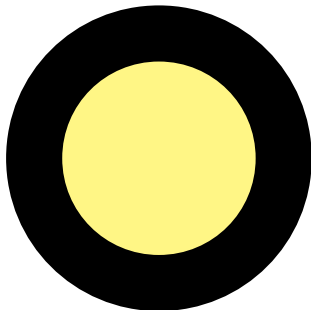
where  $\mathcal{E}^2$  is the square total error as a function of the weights  $\omega$  and  $\frac{\partial}{\partial \omega}$  is the gradient operator.



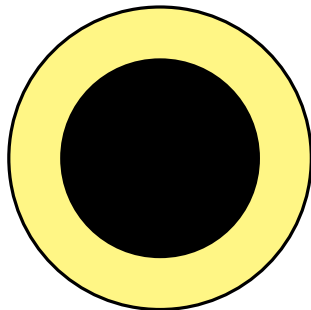
# Local receptive field



# Types of local receptive fields



on center - off surround



off center - on surround



# Mathematical model

## Fourier's transform

$$f : \mathcal{R} \rightarrow \mathcal{R}$$

$$f(x) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} g(y) e^{ixy} dy,$$

where  $g$  is the inverse Fourier's transform

## Inverse Fourier's transform

$$g(y) = \int_{-\infty}^{+\infty} f(z) e^{-izy} dz$$



# Dirac's delta

## Dirac's delta definition

$$f(x) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} f(z) \int_{-\infty}^{+\infty} e^{i(x-z)y} dy dz = \int_{-\infty}^{+\infty} f(z) \delta(x-z) dz$$

$$\delta(x-z) = \frac{1}{2\pi} \int_{-\infty}^{+\infty} e^{i(x-z)y} dy$$





# Dirac's delta properties

1

$$\int_{-\infty}^{\infty} \delta(x - z) dz = 1$$

2

$$\delta(x - z) = \lim_{\epsilon \rightarrow +\infty} \frac{\sin \epsilon(x - z)}{\pi(x - z)}$$

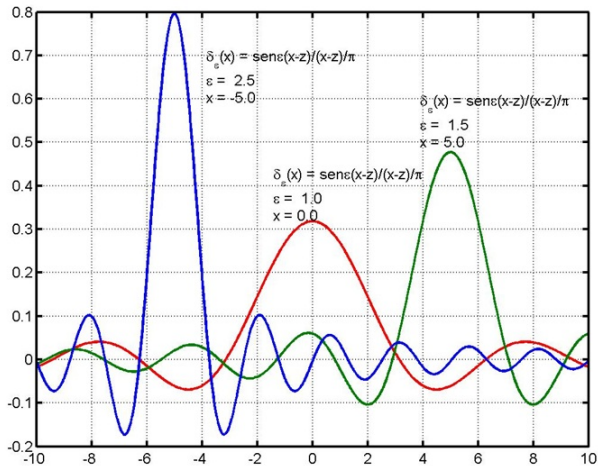
3 Dirac's delta is a sequence of functions

$$\delta_{\epsilon}(x - z) = \frac{\sin \epsilon(x - z)}{\pi(x - z)}, 0 < \epsilon$$

4 Dirac's delta is an even function

$$\delta(x - z) = \delta(z - x)$$

# Some sequence examples



# Some approximations to Dirac's delta sequence

① For  $z$ 's very close to  $x$ ,  $\delta_\epsilon(x - z) \approx \frac{\epsilon}{\pi}, 0 < \epsilon$

②  $e^{-\lambda(x-z)^2}, 0 < \lambda$

③  $\frac{1}{(x-z)^2 + c^2}$

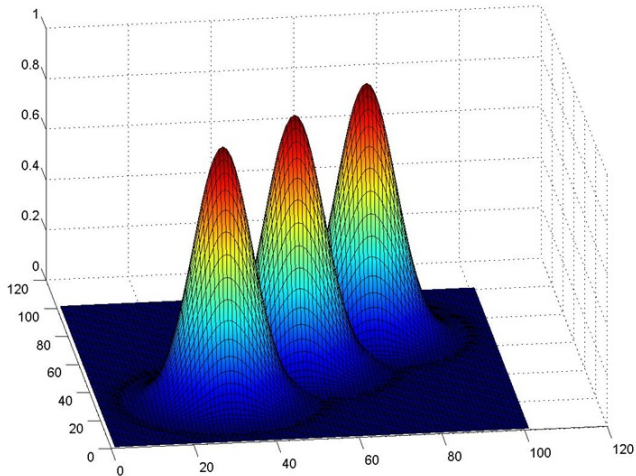
④ Two dimensional Dirac's delta approximation

$$e^{-\lambda_1(x-z_1)^2 - \lambda_2(y-z_2)^2}, 0 < \lambda_1, \lambda_2$$

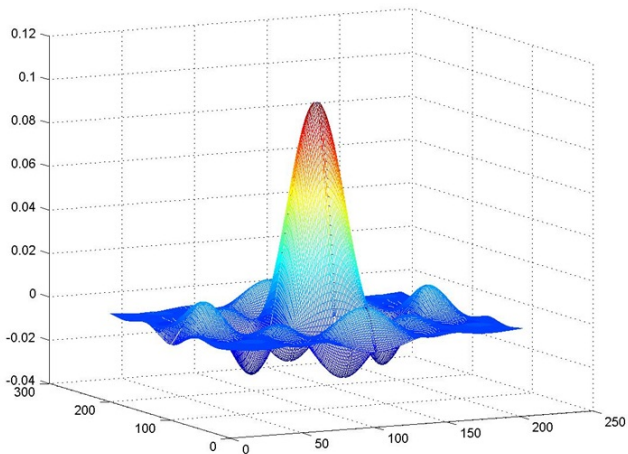
⑤ Another two dimensional Dirac's approximation  $\frac{\sin \epsilon_1(x-z_1) \sin \epsilon_2(y-z_2)}{\pi^2(x-z_1)(y-z_2)}$



# Graphics of some two dimensional sequences



# Graphics of some two dimensional sequences



## Summary (first steps to build rbf artificial neural network)

- 1 Define a mother function to generate the whole class of generating functions (the basis of the space of functions).

## Summary (first steps to build rbf artificial neural network)

- 1 Define a mother function to generate the whole class of generating functions (the basis of the space of functions).
- 2 For every class in the problem, take a proper function from the basis.

## Summary (first steps to build rbf artificial neural network)

- 1 Define a mother function to generate the whole class of generating functions (the basis of the space of functions).
- 2 For every class in the problem, take a proper function from the basis.
- 3 Assign the selected function to one neuron in the neural network.



## Summary (first steps to build rbf artificial neural network)

- 1 Define a mother function to generate the whole class of generating functions (the basis of the space of functions).
- 2 For every class in the problem, take a proper function from the basis.
- 3 Assign the selected function to one neuron in the neural network.
- 4 The selected set of neurons defines just one layer of neurons.

## Summary (first steps to build rbf artificial neural network)

- 1 Define a mother function to generate the whole class of generating functions (the basis of the space of functions).
- 2 For every class in the problem, take a proper function from the basis.
- 3 Assign the selected function to one neuron in the neural network.
- 4 The selected set of neurons defines just one layer of neurons.
- 5 The linear combination of the elements of the set provides an approximation to the desired result.

## Summary (first steps to build rbf artificial neural network)

- 1 Define a mother function to generate the whole class of generating functions (the basis of the space of functions).
- 2 For every class in the problem, take a proper function from the basis.
- 3 Assign the selected function to one neuron in the neural network.
- 4 The selected set of neurons defines just one layer of neurons.
- 5 The linear combination of the elements of the set provides an approximation to the desired result.
- 6 An output layer with a single neuron defines the approximated output.

## Summary (first steps to build rbf artificial neural network)

- 1 Define a mother function to generate the whole class of generating functions (the basis of the space of functions).
- 2 For every class in the problem, take a proper function from the basis.
- 3 Assign the selected function to one neuron in the neural network.
- 4 The selected set of neurons defines just one layer of neurons.
- 5 The linear combination of the elements of the set provides an approximation to the desired result.
- 6 An output layer with a single neuron defines the approximated output.
- 7 It could be there some intersections of local receptive fields of a subset of some basis functions.

## Summary (first steps to build rbf artificial neural network)

- 1 Define a mother function to generate the whole class of generating functions (the basis of the space of functions).
- 2 For every class in the problem, take a proper function from the basis.
- 3 Assign the selected function to one neuron in the neural network.
- 4 The selected set of neurons defines just one layer of neurons.
- 5 The linear combination of the elements of the set provides an approximation to the desired result.
- 6 An output layer with a single neuron defines the approximated output.
- 7 It could be there some intersections of local receptive fields of a subset of some basis functions.
- 8 First layer with parameters of basis functions as weights to be determined through training process (supervised learning).

## Summary (first steps to build rbf artificial neural network)

- 1 Define a mother function to generate the whole class of generating functions (the basis of the space of functions).
- 2 For every class in the problem, take a proper function from the basis.
- 3 Assign the selected function to one neuron in the neural network.
- 4 The selected set of neurons defines just one layer of neurons.
- 5 The linear combination of the elements of the set provides an approximation to the desired result.
- 6 An output layer with a single neuron defines the approximated output.
- 7 It could be there some intersections of local receptive fields of a subset of some basis functions.
- 8 First layer with parameters of basis functions as weights to be determined through training process (supervised learning).
- 9 Second layer with single neuron with weights to be determined through training process (supervised learning).

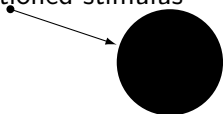
# Topics

- 1 Artificial Neural Networks
  - Architectures
  - Learning paradigms
- 2 Feedforward Artificial Neural Networks
  - Multilayer perceptron
  - Radial Basis Function
- 3 **Associative Artificial Neural Networks**
  - Hebb's rule
  - Characteristics of the conditioning process
- 4 Neurofuzzy Systems
  - Basic extensions of crisp neurons
- 5 Support Vector Machines
- 6 Spiking Neurons



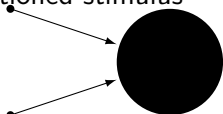
# Pavlov's conditioning

unconditioned stimulus



unconditioned behavior

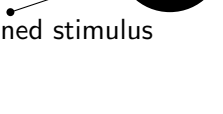
unconditioned stimulus



conditioned stimulus

same unconditioned behavior

conditioned stimulus



conditioned stimulus

initially without behavior



same unconditioned behavior

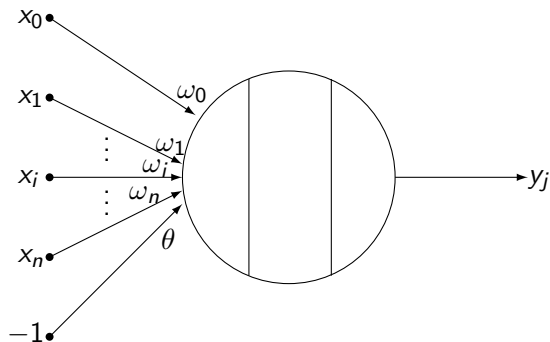




## Conditioning neuron structure

Stimulus  $\mathbf{x} = (x_0, x_1, \dots, x_i, \dots, x_n, -1)$

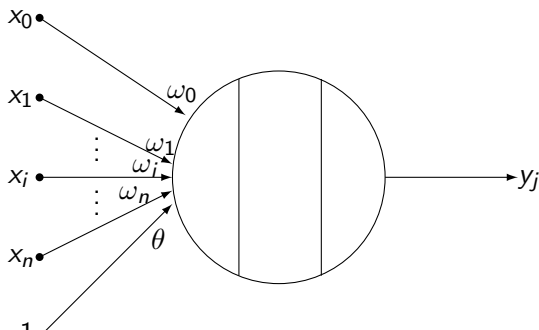
Weights  $\boldsymbol{\omega} = (\omega_0, \omega_1, \dots, \omega_i, \dots, \omega_n, \theta)$



## Conditioning neuron structure

Stimulus  $\mathbf{x} = (x_0, x_1, \dots, x_i, \dots, x_n, -1)$

Weights  $\boldsymbol{\omega} = (\omega_0, \omega_1, \dots, \omega_i, \dots, \omega_n, \theta)$



### Observation!

Since the unconditioned stimulus is previously learnt, the weights  $\omega_0$  and  $\theta$  are not modified along the learning process. The conditioned stimulus needs to be learnt, so the corresponding weights need to be modified.

# Summary

## Main properties (Chapter 14 (Competitive learning))

- 1 The only data previously known are the stimuli.

# Summary

## Main properties (Chapter 14 (Competitive learning))

- 1 The only data previously known are the stimuli.
- 2 There are no critic, instructor or supervisor.

# Summary

## Main properties (Chapter 14 (Competitive learning))

- 1 The only data previously known are the stimuli.
- 2 There are no critic, instructor or supervisor.
- 3 The learning process is completely unsupervised.

# Summary

## Main properties (Chapter 14 (Competitive learning))

- 1 The only data previously known are the stimuli.
- 2 There are no critic, instructor or supervisor.
- 3 The learning process is completely unsupervised.
- 4 The Hebb's learning rule provides the mathematical basis for modeling the association.

# Summary

## Main properties (Chapter 14 (Competitive learning))

- 1 The only data previously known are the stimuli.
- 2 There are no critic, instructor or supervisor.
- 3 The learning process is completely unsupervised.
- 4 The Hebb's learning rule provides the mathematical basis for modeling the association.
- 5 The association process looks for correlation between weights and stimulus.

# Summary

## Main properties (Chapter 14 (Competitive learning))

- 1 The only data previously known are the stimuli.
- 2 There are no critic, instructor or supervisor.
- 3 The learning process is completely unsupervised.
- 4 The Hebb's learning rule provides the mathematical basis for modeling the association.
- 5 The association process looks for correlation between weights and stimulus.
- 6 If forgetting is considered, the learning rule is

$$\omega_{new} = \omega_{old} + \eta y(\mathbf{x} - \omega_{old})$$



# Summary

## Main properties (Chapter 14 (Competitive learning))

- 1 The only data previously known are the stimuli.
- 2 There are no critic, instructor or supervisor.
- 3 The learning process is completely unsupervised.
- 4 The Hebb's learning rule provides the mathematical basis for modeling the association.
- 5 The association process looks for correlation between weights and stimulus.
- 6 If forgetting is considered, the learning rule is

$$\omega_{new} = \omega_{old} + \eta y(\mathbf{x} - \omega_{old})$$

- 7 The weights are bounded, as well.

# Topics

- 1 Artificial Neural Networks
  - Architectures
  - Learning paradigms
- 2 Feedforward Artificial Neural Networks
  - Multilayer perceptron
  - Radial Basis Function
- 3 Associative Artificial Neural Networks
  - Hebb's rule
  - Characteristics of the conditioning process
- 4 **Neurofuzzy Systems**
  - Basic extensions of crisp neurons
- 5 Support Vector Machines
- 6 Spiking Neurons

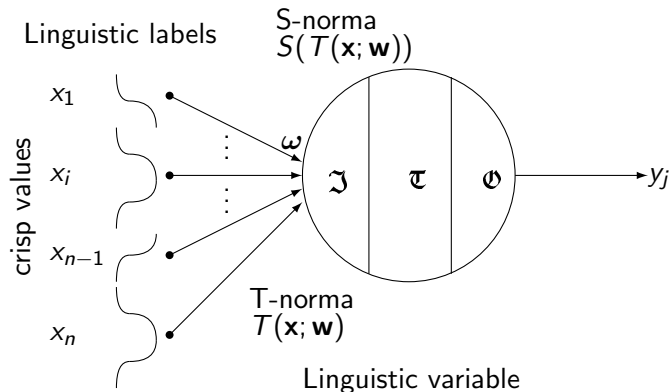


## Focus of the main thrust of fuzzy neural nets

- 1 The fuzzification of the dendritic inputs.
- 2 The aggregation operation of a conventional neuron.



# Basic structure of a fuzzy neuron

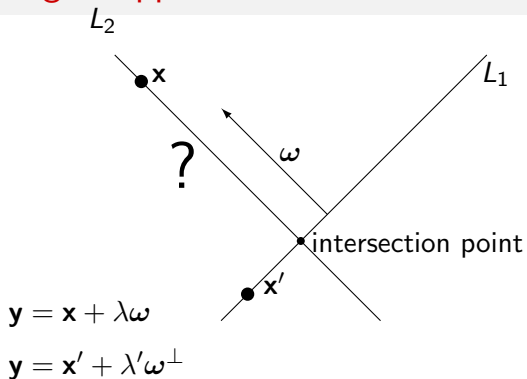


# Topics

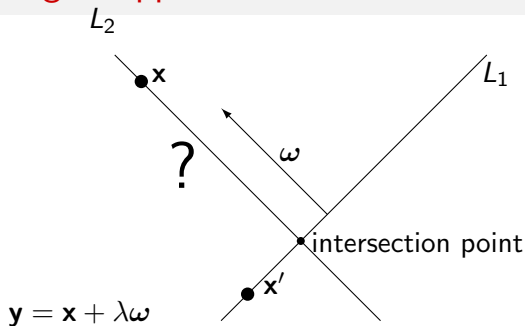
- 1 Artificial Neural Networks
  - Architectures
  - Learning paradigms
- 2 Feedforward Artificial Neural Networks
  - Multilayer perceptron
  - Radial Basis Function
- 3 Associative Artificial Neural Networks
  - Hebb's rule
  - Characteristics of the conditioning process
- 4 Neurofuzzy Systems
  - Basic extensions of crisp neurons
- 5 Support Vector Machines
- 6 Spiking Neurons



# Hard margin support vector machine



# Hard margin support vector machine



Line equation for  $L_2$  and  $L_2$

line equation  $L_2$

$$y = x + \lambda\omega, \lambda \in \mathcal{R}$$

line equation  $L_1$

$$y = x' + \lambda'\omega^\perp, \lambda' \in \mathcal{R}$$



## Shortest distance from $\mathbf{x}$ to line $L_1$

In the intersection point there do exist scalars  $\lambda$  and  $\lambda'$  such that the following holds

$$\mathbf{x} + \lambda\boldsymbol{\omega} = \mathbf{x}' + \lambda'\boldsymbol{\omega}^\perp$$

After multiplying with dot product both sides of the equation by  $\boldsymbol{\omega}^\perp$

$$\boldsymbol{\omega}^\perp \cdot \mathbf{x} = \boldsymbol{\omega}^\perp \cdot \mathbf{x}' + \lambda' \|\boldsymbol{\omega}\|^2$$

So that, the scalar  $\lambda'$  in the intersection point is given by the equation

$$\lambda' = \frac{\boldsymbol{\omega}^\perp \cdot (\mathbf{x} - \mathbf{x}')}{\|\boldsymbol{\omega}\|^2}$$

Therefore, the shortest distance from  $\mathbf{x}$  to line  $L_1$  is given by the expression

$$\frac{|\boldsymbol{\omega}^\perp \cdot (\mathbf{x} - \mathbf{x}')|}{\|\boldsymbol{\omega}\|}$$



# Shortest distance

This is so, since

$$\frac{|\omega^\perp \cdot (\mathbf{x} - \mathbf{x}')|}{\|\omega\|} = \frac{\omega^\perp \cdot \mathbf{x} - \omega^\perp \cdot \mathbf{x}'}{\|\omega\|}$$

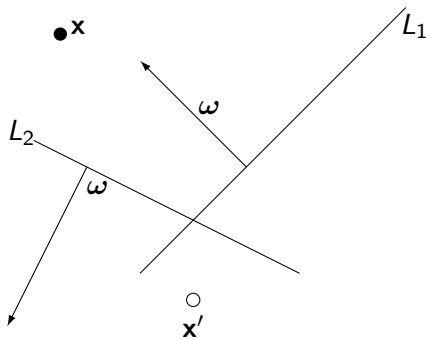
And, after adding a zero value

$$\frac{\omega^\perp \cdot \mathbf{x} + b - \omega^\perp \cdot \mathbf{x}' - b}{\|\omega\|} = \frac{\omega^\perp \cdot \mathbf{x} + b}{\|\omega\|} = \frac{c}{\|\omega\|}$$

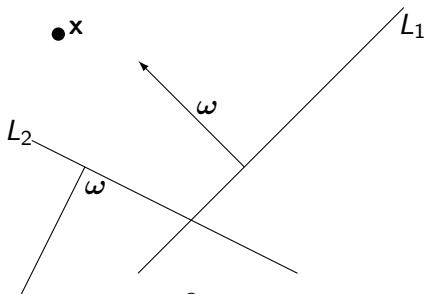
( $\omega^\perp \cdot \mathbf{x}' - b = 0$  is the equation of  $L_1$  and  $\omega^\perp \cdot \mathbf{x} + b = c$  is the equation of the line passing through  $\mathbf{x}$  and parallel to  $L_1$ )



## Two classes case



## Two classes case



## Important question

What's the minimum weight vector  $\omega$  maximizing the distance

$$\frac{c}{\|\omega\|}?$$



# Hard margin support vector machine

## Problem

*Minimize*

$$Q(\omega) = \frac{1}{2} \|\omega\|^2$$

*Subject to the constraints*

$$y_i(\omega^\perp \mathbf{x}_i + b) \geq 1, \forall i = 1, 2, \dots, M$$

*Data  $x_i$ 's that satisfy the equalities are called support vectors (deleting all the data that satisfy the strict inequalities do not affect the resulting hyperplane)*



# Topics

- 1 Artificial Neural Networks
  - Architectures
  - Learning paradigms
- 2 Feedforward Artificial Neural Networks
  - Multilayer perceptron
  - Radial Basis Function
- 3 Associative Artificial Neural Networks
  - Hebb's rule
  - Characteristics of the conditioning process
- 4 Neurofuzzy Systems
  - Basic extensions of crisp neurons
- 5 Support Vector Machines
- 6 Spiking Neurons

